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Christine Hurdle

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/814,049 **TRANSMITTAL** Filing Date 3/31/04 FORM First Named Inventor Cheong Art Unit (to be used for all correspondence after initial filing) not yet assigned **Examiner Name** not yet assigned Attorney Docket Number

111079-136357

| ENCLOSURES (Check all that apply)          |  |   |  |  |  |  |  |
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|  | Fee Trans  | smittal Form  | Drawing(s)  After Allowance communication to Technology Center (TC)  |  |  |  |  |
|  | Amendme Af  Extension  Express A  Informatio  Certified O  Documen  Response Incomplet | fter Final  ffidavits/declaration(s)  n of Time Request  Abandonment Request  on Disclosure Statement  Copy of Priority | Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Return Receipt Postcard |  |  |  |  |
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| Firm Schwabe, Williamson Individual name   |  | Schwabe, Williamson & V   | Nyatt, P.C.  |  |  |  |  |
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Cheong

n re Application for:

Application No.: 10/814,049

Filed: 3/31/04

For: WAFER GRINDING USING AN

ADHESIVE GEL MATERIAL

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Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA; (2) Within three months of entry into National Stage; (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action. Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. OR (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p).

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| therein, EXCEPT references pursuant to one or more of the following sections of 37   |  |  |  |  |  |
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| 37 C.F.R. § 1.98(d) as being previously submitted in parent application/,, to which the present application claims priority under 35 U.S.C. § 120. |  |  |  |  |  |
| X Those U.S. Patent references waived from the requirement of 37 C.F.R. § 1.98 (a)(2)(i) .   |  |  |  |  |  |
| The Commissioner is hereby authorized to charge shortages or credit  |  |  |  |  |  |
| overpayments to Deposit Account No. 500393.  |  |  |  |  |  |
|  | Respectfully submitted,                      |  |  |  |  |
|  | SCHWABE, WILLIAMSON & WYATT, P.C.            |  |  |  |  |
| Dated: <u>H8/04</u>  | Aloysius AuYeung Registration No. 35,432     |  |  |  |  |
| Pacwest Center, Suites 1600-1900 1211 SW Fifth Avenue  |  |  |  |  |  |

Portland, Oregon 97204 Telephone: 503-222-9981

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|------------------------------|------------------------------|------------------------|---------------|--|--|--|
|                              |                              | Application Number     | 10/814,049    |  |  |  |
| INFORMATION DISCLOSURE       |                              | Filing Date            | 3/31/04       |  |  |  |
|                              |                              | First Named Inventor   | Cheong        |  |  |  |
|                              | IENT BY APPLICANT            | Art Unit               |               |  |  |  |
| (Use                         | as many sheets as necessary) | Examiner Name          |               |  |  |  |
| pet 1                        | of 1                         | Attorney Docket Number | 111079-136357 |  |  |  |

PTO/SB/08A (08-03)

|                       |                          |  |                             | DOCUMENTS  |   |
|-----------------------|--------------------------|--|-----------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1                        | <sup>US-</sup> 6,159,071                                 | 12/12/00                    | Koma et al.  |   |
|                       | 2                        | <sup>US-</sup> 6,572,444                                 | 6/3/03                      | Ball et al.  |   |
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| FOREIGN PATENT DOCUMENTS |                          |   |                             |  |   |                |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear |                |
|                          |                          | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) |                             |  |   | T <sup>6</sup> |
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